

FORM PTO-1390
REV. 5-93US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER

#5

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371****P05,0083**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/530,147 ✓INTERNATIONAL APPLICATION NO.
PCT/EP2003/011268INTERNATIONAL FILING DATE
10 October 2003PRIORITY DATE CLAIMED
11 October 2002

TITLE OF INVENTION:

"DEVICE AND METHOD FOR GUIDING A CONTINUOUS WEB BY MEANS OF A PIVOTABLE APPARATUS"

APPLICANT(S) FOR DO/EO/US

Werner FUCHS, Hans TAUBENBERGER, Günther GASSNER and Friedrich MESCHENMOSER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **EXECUTED**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; **(PTO 1449, Prior Art, Search Report)**.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☐ A **SECOND** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. ☒ **EXPRESS MAIL #EV 635786178 US Mailed October 13, 2005.**
 - b. ☐
 - c. ☐

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|---|--|---|--|--------------------------------------|--|
| U. S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/530,147 | | INTERNATIONAL APPLICATION NO. PCT/EP2003/0011268 | | ATTORNEY'S DOCKET NUMBER P05,0083 | |
|---|--|---|--|--------------------------------------|--|

| | | | | | |
|--|--------------|--------------|------------|-----------------------|--------------|
| 17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): (Search Report has been prepared by the EPO or JPO) \$900.00 (Includes National Stage, Search, and Examination Fees) <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> | | | | CALCULATIONS | PTO USE ONLY |
| | | | | | |
| | | | | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). | | | | \$130.00 | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 20 = | 0 | X \$50.00 | \$00 | |
| Independent Claims | 3 = | 0 | X \$200.00 | \$00 | |
| Multiple Dependent Claims | | | \$300.00 + | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$130.00 | |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28) | | | | | |
| SUBTOTAL = | | | | \$130.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$00 | |
| TOTAL NATIONAL FEE = | | | | \$130.00 | |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property | | | | \$00 | |
| TOTAL FEES ENCLOSED = | | | | \$130.00 | |
| | | | | Amount to be refunded | |
| | | | | charged | |

a. ☒ A check in the amount of **\$130.00** to cover the above fees is enclosed.

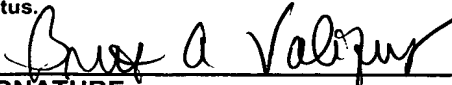
b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **501519**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin LLP
Patent Department
6600 Sears Tower
Chicago, Illinois 60606-6473
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SIGNATURE

Brett A. Valiquet
NAME

27,841
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CH1\ 4365792.1

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